



Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00042.04CON

Application Number: 10/769,051

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 1/30/2004

Group Art Unit: Not yet known

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
M	1.	02/02/1971	3,560,607	Haillty			
	2.	09/21/1976	3,982,095	Robinson			
	3.	10/24/1978	4,121,583	Chen			
	4.	05/27/1980	Re. 30,285	Babington			
	5.	12/01/1981	4,303,083	Burruss, Jr.			
	6.	10/02/1984	4,474,191	Steiner			
	7.	07/28/1987	4,683,231	Glassman			
	8.	09/15/1987	4,693,868	Katsuda et al.			
	9.	11/24/1987	4,708,151	Shelar			
	10.	12/22/1987	4,714,082	Banerjee et al.			
	11.	03/29/1988	4,734,560	Bowen			
	12.	04/05/1988	4,735,217	Gerth et al.			
	13.	06/28/1988	4,753,758	Miller			
	14.	07/12/1988	4,756,318	Clearman et al.			
	15.	09/20/1988	4,771,795	White et al.			
	16.	12/27/1988	4,793,365	Sensabaugh, Jr. et al.			
	17.	04/11/1989	4,819,665	Roberts et al.			
	18.	07/18/1989	4,848,374	Chard et al.			
	19.	08/01/1989	4,853,517	Bowen et al.			
	20.	08/08/1989	4,854,331	Banerjee et al.			
	21.	08/22/1989	4,858,630	Banerjee et al.			
	22.	11/21/1989	4,881,556	Clearman et al.			
	23.	01/23/1990	4,895,719	Radhakrishnum et al.			
	24.	03/06/1990	4,906,417	Gentry			
M	25.	04/17/1990	4,917,119	Potter et al.			

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26.	05/08/1990	4,922,901	Brooks et al.				
27.	05/29/1990	4,928,714	Shannon				
28.	07/17/1990	4,941,483	Ridings, et al.				
29.	08/14/1990	4,947,874	Brooks et al.				
30.	08/14/1990	4,947,875	Brooks et al.				
31.	02/05/1991	4,989,619	Clearman et al.				
32.	09/17/1991	5,049,389	Radhakrishnun				
33.	03/31/1992	5,099,861	Clearman et al.				
34.	02/14/1995	5,388,574	Ingebretsen				
35.	10/10/1995	5,457,100	Daniel				
36.	08/13/1996	5,544,646	Lloyd et al.				
37.	08/12/1997	5,655,523	Hodson et al.				
38.	12/09/1997	5,694,919	Rubsamen et al.				
39.	04/07/1998	5,735,263	Rubsamen et al.				
40.	04/14/1998	5,738,865	Baichwal et al.				
41.	06/02/1998	5,758,637	Ivri et al.				
42.	06/29/1999	5,915,378	Lloyd et al.				
43.	08/10/1999	5,934,272	Lloyd et al.				
44.	09/28/1999	5,957,124	Lloyd et al.				
45.	10/05/1999	5,960,792	Lloyd et al.				
46.	11/30/1999	5,993,805	Sutton et al.				
47.	03/28/2000	6,041,777	Faithfull et al.				
48.	04/18/2000	6,051,566	Bianco				
49.	08/01/2000	6,095,134	Sievers et al.				
50.	08/01/2000	6,095,153	Kessler et al.				
51.	08/15/2000	6,102,036	Slutsky et al.				
52.	06/05/2001	6,241,969	Saidi et al.				
53.	07/03/2001	6,255,334	Sands				
54.	10/09/2001	6,299,900	Reed et al.				

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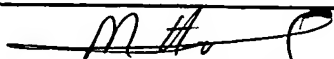
Group Art Unit: Not yet known

M	55.	10/23/2001	6,306,431	Zhang et al.			
	56.	01/14/2003	6,506,762	Horvath et al.			
	57.	02/04/2003	6,514,482	Bartus et al.			
	58.	07/15/2003	6,591,839	Meyer et al.			
	59.	09/06/2001	US 2001/0020147	Staniforth et al.			
	60.	03/28/2002	US 2002/0037828	Wilson et al.			
	61.	05/16/2002	US 2002/0058009	Bartus et al.			
	62.	07/04/2002	US 2002/0086852	Cantor			
	63.	08/22/2002	US 2002/0112723	Schuster et al.			
	64.	11/28/2002	US 2002/0176841	Barker et al.			
	65.	01/02/2003	US 2003/0004142	Prior et al.			
M	66.	02/13/2003	US2003/0032638	Kim et al.			

RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS

M	67.	08/04/2003	10/633,876	Hale et al.			
	68.	08/04/2003	10/633,877	Hale et al.			
	69.	12/30/2003	10/749,537	Rabinowitz et al.			
	70.	12/30/2003	10/749,539	Rabinowitz et al.			
	71.	01/27/2004	10/766,149	Rabinowitz et al.			
	72.	01/27/2004	10/766,279	Rabinowitz et al.			
	73.	01/27/2004	10/766,566	Rabinowitz et al.			
	74.	01/27/2004	10/766,574	Rabinowitz et al.			
	75.	01/27/2004	10/766,634	Rabinowitz et al.			
	76.	01/27/2004	10/766,647	Rabinowitz et al.			
	77.	01/28/2004	10/767,115	Rabinowitz et al.			
	78.	01/29/2004	10/768,205	Rabinowitz et al.			
	79.	01/29/2004	10/768,220	Rabinowitz et al.			
	80.	01/29/2004	10/768,281	Rabinowitz et al.			
M	81.	01/29/2004	10/768,293	Rabinowitz et al.			

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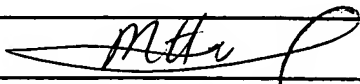
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Group Art Unit: Not yet known

82.	01/30/2004	10/769,046	Rabinowitz et al.				
83.	01/29/2004	10/769,157	Rabinowitz et al.				
84.	01/29/2004	10/769,197	Rabinowitz et al.				
85.	02/09/2004	10/775,583	Rabinowitz et al.				
86.	02/09/2004	10/775,586	Rabinowitz et al.				
87.	03/03/2004	10/791,915	Hale et al.				
88.	03/03/2004	10/792,001	Rabinowitz et al.				
89.	03/03/2004	10/792,012	Hale et al.				
90.	03/03/2004	10/792,013	Rabinowitz et al.				
91.	03/03/2004	10/792,096	Hale et al.				
92.	03/03/2004	10/792,239	Hale et al.				
93.	03/31/2004	10/813,721	Rabinowitz et al.				
94.	03/31/2004	10/813,722	Rabinowitz et al.				
95.	03/31/2004	10/814,690	Rabinowitz et al.				
96.	03/31/2004	10/814,998	Rabinowitz et al.				
97.	04/01/2004	10/815,527	Rabinowitz et al.				
98.	04/01/2004	10/816,407	Rabinowitz et al.				
99.	04/01/2004	10/816,492	Rabinowitz et al.				
100.	04/01/2004	10/816,567	Rabinowitz et al.				
101.	08/04/2004	10/912,462	Hale et al.				
102.	01/23/2003	US 2003/0015196	Hodges et al.				
103.	04/03/2003	US 2003/0062042	Wensley et al.				
104.	11/13/2003	US 2003/0209240	Hale et al.				
105.	01/02/2003	US 2003/0000518	Rabinowitz et al.				
106.	01/09/2003	US 2003/0005924	Rabinowitz et al.				
107.	01/09/2003	US 2003/0005925	Hale et al.				
108.	01/09/2003	US 2003/0007933	Rabinowitz et al.				
109.	01/09/2003	US 2003/0007934	Rabinowitz et al.				
110.	01/16/2003	US 2003/0012737	Rabinowitz et al.				

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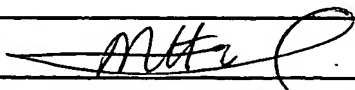
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M	111.	01/16/2003	US 2003/0012738	Rabinowitz et al.			
	112.	1/16/2003	US 2003/0012740	Rabinowitz et al.			
	113.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
	114.	01/23/2003	US 2003/0015190	Rabinowitz et al.			
	115.	01/23/2003	US 2003/0017114	Rabinowitz et al.			
	116.	01/23/2003	US 2003/0017115	Rabinowitz et al.			
	117.	01/23/2003	US 2003/0017116	Rabinowitz et al.			
	118.	01/23/2003	US 2003/0017117	Rabinowitz et al.			
	119.	01/23/2003	US 2003/0017118	Rabinowitz et al.			
	120.	01/23/2003	US 2003/0017119	Rabinowitz et al.			
	121.	01/23/2003	US 2003/0017120	Rabinowitz et al.			
	122.	1/30/2003	US 2003/0021753	Rabinowitz et al.			
	123.	01/30/2003	US 2003/0021754	Rabinowitz et al.			
	124.	01/30/2003	US 2003/0021755	Hale et al.			
	125.	02/20/2003	US 2003/0035776	Hodges et al.			
	126.	05/15/2003	US 2003/0091511	Rabinowitz et al.			
	127.	05/27/2004	US 2004/010148	Hale et al.			
	128.	07/31/2003	US 2003/0138382	Rabinowitz			
	129.	11/06/2003	US 2003/0206869	Rabinowitz et al.			
	130.	01/15/2004	US 2004/0009128	Rabinowitz et al.			
	131.	05/20/2004	US 2004/0096402	Hodges et al.			
	132.	05/27/2004	US 2004/0099269	Hale et al.			
	133.	06/03/2004	US 2004/0105818	Hale et al.			
	134.	06/03/2004	US 2004/0105819	Hale et al.			
	135.	07/01/2004	US 2004/0126326	Rabinowitz et al.			
	136.	07/01/2004	US 2004/0126327	Rabinowitz et al.			
	137.	07/01/2004	US 2004/0126328	Rabinowitz et al.			
	138.	07/01/2004	US 2004/0126329	Rabinowitz et al.			
M	139.	07/01/2004	US 2004/0127481	Rabinowitz et al.			

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M	140.	07/01/2004	US 2004/0127490	Rabinowitz et al.			
	141.	08/12/2004	US 2004/0156788	Rabinowitz et al.			
	142.	08/12/2004	US 2004/0156789	Rabinowitz et al.			
	143.	8/12/2004	US 2004/0156790	Rabinowitz et al.			
M	144.	08/12/2004	US 2004/0156791	Rabinowitz et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
M	145.	03/14/1990	EP 0 358 114	Europe			
	146.	07/03/2001	EP 1 080 720	Europe			
	147.	08/29/2001	EP 0 606 486	Europe			
	148.	06/13/1991	WO 91/07947	WIPO			
	149.	04/11/1996	WO 96/09846	WIPO			
	150.	05/09/1996	WO 96/13161	WIPO			
	151.	05/09/1996	WO 96/13290	WIPO			
	152.	05/09/1996	WO 96/13291	WIPO			
	153.	05/09/1996	WO 96/13292	WIPO			
	154.	10/03/1996	WO 96/30068	WIPO			
	155.	08/07/1997	WO 97/27804	WIPO			
	156.	05/28/1998	WO 98/22170	WIPO			
	157.	07/23/1998	WO 98/31346	WIPO			
	158.	07/23/1998	WO 98/31346	WIPO			
	159.	08/27/1998	WO 98/36651	WIPO			
	160.	09/03/1998	WO 98/37896	WIPO			
	161.	04/08/1999	WO 99/16419	WIPO			
	162.	12/16/1999	WO 99/64094	WIPO			
M	163.	01/06/2000	WO 00/00176	WIPO			

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M	164.	01/06/2000	WO 00/00215	WIPO			
	165.	05/18/2000	WO 00/27363	WIPO			
	166.	05/25/2000	WO 00/29053	WIPO			
	167.	08/17/2000	WO 00/47203	WIPO			
	168.	11/02/2000	WO 00/64940	WIPO			
	169.	11/09/2000	WO 00/66084	WIPO			
	170.	11/09/2000	WO 00/66206	WIPO			
	171.	12/21/2000	WO 00/76673	WIPO			
	172.	01/25/2001	WO 01/05459	WIPO			
	173.	03/01/2001	WO 01/13957	WIPO			
	174.	06/14/2001	WO 01/41732	WIPO			
M	175.	03/28/2002	WO 02/24158	WIPO			

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(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
M	176.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.
	177.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology</i> (Berl). 102:443-450.
	178.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.
	179.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society.</i> 966-974.
	180.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology.</i> 32(5):591-600.
	181.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology.</i> 93(3): 619-628.
M	182.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.

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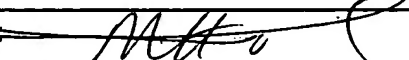
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M	183.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.
	184.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior</i> . 36(1):1-7.
	185.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μ m," <i>J. Aerosol Sci.</i> 17(5):811-822.
	186.	Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211.
	187.	Hurt, R.D., MD and Robertson, C.R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181.
	188.	Lichtman, A.H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76.
	189.	Martin, B.R. and Lue, L.P. (May/June 1989). "Pyrolysis and Volatilization of Cocaine," <i>Journal of Analytical Toxicology</i> 13:158-162.
	190.	Mattox, A.J. and Carroll, M.E., (1996). "Smoked-heroin self-administration in rhesus monkeys," <i>Psychopharmacology</i> , 125:195-201.
	191.	Meng, Y. et al. "Inhalation Studies With Drugs of Abuse," <i>NIDA Research Monograph</i> , (1997) 173:201-224.
	192.	Meng, Y., et al. (1999). "Pharmacological effects of methamphetamine and other stimulants via inhalation exposure," <i>Drug and Alcohol Dependence</i> . 53:111-120.
	193.	Office Action mailed 8/13/2003 for US application 10/153,313 filed 05/21/2002 "DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE"
	194.	Pankow, J.F. et al. (1997). "Conversion of Nicotine in Tobacco Smoke to Its Volatile and Available Free-Base Form Through the Action of Gaseous Ammonia," <i>Environ. Sci. Technol.</i> 31:2428-2433.
	195.	Pankow, J. (March 2000). ACS Conference-San Francisco-3/26/00. Chemistry of Tobacco Smoke. pp. 1-8.
	196.	Seeman, J. et al. (1999). "The Form of Nicotine in Tobacco. Thermal Transfer of Nicotine and Nicotine Acid Salts to Nicotine in the Gas Phase," <i>J. Agric. Food Chem.</i> 47(12):5133-5145.
	197.	Sekine, H. and Nakahara, Y. (1987). "Abuse of Smoking Methamphetamine Mixed with Tobacco: 1. Inhalation Efficiency and Pyrolysis Products of Methamphetamine," <i>Journal of Forensic Science</i> 32(5):1271-1280.
M	198.	Vapotronics, Inc. (1998) located at http://www.vapotronics.com.au/banner.htm , 11 pages, (visited on June 5, 2000).

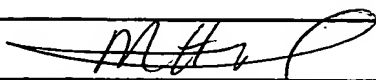
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M	199.	Ward, M.E. MD, et al. (December 1997). "Morphine Pharmacokinetics after Pulmonary Administration from a Novel Aerosol Delivery System," <i>Clinical Pharmacology & Therapeutics</i> 62(6):596-609.
M	200.	Wood, R.W. et al. (1996). "Generation of Stable Test Atmospheres of Cocaine Base and Its Pyrolyzate, Methylecgonidine, and Demonstration of Their Biological Activity." <i>Pharmacology Biochemistry & Behavior</i> . 55(2):237-248.

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